U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Docket Number: ON DISCLOSURE 2390/468082 INFORMAT! **STATEMENT** Art Unit Examiner Application Number Filing Date 3731 Dawson, Glenn July 11, 2003 10/618,182 Inventor(s) Invention Title Jacob RICHTER et al. **STENT** 

Address to: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner of Patents, P.O. Box 1450, Alexandria, VA

Signature Clifford A. Ulrich (Reg. No. 42,194)

- In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the 1. procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the attached references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
- The filing of this Information Disclosure Statement and the attached PTO Form No. 1449, shall 2. not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
- A copy of each patent, publication or other information listed on the modified PTO form 1449 is 3. enclosed, unless otherwise indicated.
- It is believed that no fees are due in connection with this Information Disclosure 4. Statement. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A duplicate copy of this communication is enclosed for charging purposes.

Dated: March 7, 205 By:

Clifford A. Ulrich (Reg. No. 42,194)

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#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO-1449

SERIAL NO. ATTY. DOCKET NO. 10/618,182 2390/468082 APPLICANT RICHTER, Jacob et al. **GROUP** FILING DATE 3731 **July 11, 2003** 

## U. S. PATENT DOCUMENTS

1 0 3000		PATENT	NAME	CLASS	SUBCLASS	FILING
HADEMAN INITIAL	PATENT NUMBER	DATE				DATE*
	3,058,705	October 10, 1962	Hagg et al.			<del> </del>
	4,130,904	December 1978	Whalen		<u> </u>	<del> </del>
	4,665,906	May 19, 1987	Jervis		ļ	<del> </del>
	4,795,458	January 3, 1989	Regan		ļ	<del> </del>
	4,800,882	January 31, 1989	Gianturco		<del> </del>	<del>                                     </del>
	4,820,298	April 11, 1989	Leveen et al.		<u> </u>	<del> </del>
	4,829,767	May 16, 1989	Mecca		<del> </del>	+
	4,878,906	November 7, 1989	Lindemann, et al.			+
	4,913,141	April 3, 1990	Hillstead		<del></del>	
	4,927,413	May 22, 1990	Hess		<del></del>	
	5,037,427	August 1991	Harada et al.			<del></del>
	5,041,126	August 20, 1991	Gianturco		<del> </del>	<del> </del>
	5,067,957	November 26, 1991	Jervis			<u> </u>
	5,282,823	February 1, 1994	Schwartz et al.			
	5,342,387	August 30, 1994	Summers			<del> </del>
	5,372,600	December 13, 1994	Beyar et al.			
	5,383,928	January 1995	Scott et al.			+
	5,476,505	December 19, 505	Limon			
	5,409,470	April 25, 1995	McIntyre et al.			
	5,522,882	June 04, 1996	Gaterud et al.			
	5,725,572	March 10, 1998	Lam et al.			
	5,824,045	October 20, 1998	Alt			<del></del>
	5,922,020	July 13, 1999	Klein et al.			
	6,013,085	January 11, 2000	Howard			<del></del>
· · · · · · · · · · · · · · · · · · ·	6,514,285	February 4, 2003	Pinchasik			
<u>:::</u>						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLA	
EXAMINER INITIAL	NUMBER					YES X***	: NC
<del>_</del>	63-230158	September 26, 1998	JP	<b></b>	<del> </del>	X*	+
	1-230375	September 13, 1989	JP	<del> </del>	-	X*	
	4-47417	February 17, 1992	JP JP	+		X*	I
	4-47457	February 12, 1992 July 29, 1992	JP			X*	+-
	4-207935	September 14, 1988	EP			<del> </del>	+
	0 282 175 0 518 704	December 16, 1992	EP				

		DATE	COUNTRY	CLASS	SUBCLASS	TRANSLA	TION
EXAMINER - INITIAL	DOCUMENT NUMBER	DATE	0001111			YES	NO.
	045010	July 15, 1981	Russia			<u> </u>	X**.
	845818 1 101 455 A2	May 23, 2001	EP		<b></b>	X*	+
<u> </u>	2 671 482	July 17, 1992	FR	ļ		X	+
	PI 9,102,523	October 22, 1991	BR	ļ	<del>                                     </del>	X.	
	PI 9,202,079	May 29, 1992	BR	<del> </del>	<del> </del>		
			<u> </u>	1			120

X\* English language Abstract only provided.

X\* English translation is not provided. Reference was cited in U.S. Serial No. 08/297,275, which is relied upon for an earlier filing date under 35 U.S.C. 120. X\*\*\* EP 282,175, and US 5,041,126 are cited as English-language counterparts.

### OTHER DOCUMENTS

EXAMINER	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
INITIAL	Nakamura et al., ASAIO Transaction, ""Novel Airway Stent Using a Thermal Shape-Memory Ti-Ni Alloy", pp. M319-
	M321, July/Sept. 1991.

L	DATE CONSIDERED		
1	EXAMINER  WAS ED. 600: draw line through citation if not in conformance and	d	ı
	EXAMINER. Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and EXAMINER. Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation is in conformance and citation considered with the citation considered and citation considered and citation conformance and citation citation conformance and citation		į
	not considered. Include copy of this form with note of the		•



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL FORM (37 C.F.R. § 1.114)

DOCKET NO.	APPLICATION SERIAL NO.	EXAMINER	ART UNIT			
2390/468082	10/618,182	Glenn Dawson	3731			
INVENTOR: Jac	cob RICHTER et al.					
Address to:  Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop: RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on  Date:    March 7, 2005   Reg. No. 42,194				
The following co  X Amendo  X Informa  Drawing	onstitute the submission <u>rec</u>	tion under 37 C,F.R. § 1.114 (R. July 11, 2003, entitled STENT. Juired by 37 C.F.R. § 1.114(a) and Form PTO-1449				

1. The filing fee for this RCE and the required amendment/submission is calculated below. The fee below is calculated based on the status of the claims after the entry of the attached amendment/submission. The fee for any new additional claims is included with this RCE, the fee for previously entered additional claims having already been paid.

	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA	CLAIM	FEE (\$)
BASIC FEE	-					790.00
TOTAL CLAIMS	22	-	20	0	50.00	100.00
INDEPENDENT CLAIMS	3	-	3	0	200.00	0.00
MULTIPLE DEPENDENT CLAIM					390.00	
				*Number extra must be zero or larger	TOTAL	890.00
· ·	If Applicant is a small entity under 37 C.F.R. §§ 1.9 SMALL ENTITY and 1.27, then divide total fee by 2, and enter amount here.					

03/11/2005 JBALINAN 00000079 110600 10618182

01 FC:1801

790.00 DA

- 2. Please charge the required RCE and submission filing fee of \$890.00 to the deposit account of Kenyon & Kenyon, deposit account number 11-0600.
- 3. The Commissioner is hereby authorized to charge payment of the fees, including any additional fees required, associated with this communication or arising during the pendency of this application, or to credit any overpayment, to the deposit account of **Kenyon & Kenyon**, deposit account number 11–0600.
- 4. A duplicate copy of this transmittal form is enclosed.

Dated: March 1, 2005

By: Respectfully submitted,

Clifford A. Ulrich

**KENYON & KENYON** 

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New York, New York 10004

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